

# Efficient hybrid simulation of autotuning PI controllers

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## Abstract

Autotuning methods are typically conceived as procedures, thus need simulating as digital blocks. However, when no autotuning is in progress, it is far more efficient to represent the tuned controller as a continuous-time system, to exploit variable-step integration. This manuscript presents the first *nucleus* of a Modelica library of autotuning controllers, where the problem just mentioned is tackled explicitly. The focus is here restricted to the PI structure, but the presented ideas are general.

*Keywords: Autotuning; PI control; hybrid systems' simulation.*

## 1 Introduction

It is universally acknowledged that PI and PID regulators significantly contribute to form the backbone of industrial controls [5, 3]. Also, in many applications and especially in recent years, their automatic tuning is of paramount importance for a quick system setup and an easy maintenance. As a result, an impressive quantity of autotuning rules can be found in the literature, see e.g. the vast review [17]; analogously, a large and steadily increasing number of industrial application and products are available, as testified by works such as [15].

Apparently, therefore, the simulation of PI(D) autotuners is a very interesting topic, for at least two reasons. From the standpoint of the analyst who performs system-level simulation studies, for example in a view to ease and speed the commissioning of a plant, autotuning is precious to reduce the time needed to parametrise the included regulators, that are often quite numerous. From the point of view of engineer who develops autotuning controllers, conversely, the possibility of testing a product (with a quasi-*replica* code representation) on realistic simulation models is

equally precious, since doing so allows to assess *a priori* its correct behaviour in the whole class of application it is intended for.

However, in a view to achieve efficient simulation, the presence of autotuning regulators poses a relevant issue. The problem is that autotuners are typically conceived as digital blocks, and for the sake of correctness and precision, so need to be their models. On the other hand, when no autotuning is in progress, the regulator behaves as a fixed-parameter dynamic system, thus it is far more convenient to represent it in the continuous-time domain, so as to exploit variable-step integration.

In such a context, this manuscript presents the first *nucleus* of a Modelica library of autotuning controllers, and concentrates on their hybrid representation, encompassing a continuous-time model of the controller, and a digital model of the autotuning part. After a brief theoretical review, a general structure for the necessary Modelica models is proposed as the main contribution, and an application that refers to a relay-based PI autotuner is presented. Simulation examples show the efficiency advantages of the presented hybrid representation with respect to a fully digital one.

## 2 Theoretical background

This work, although (as can be guessed) the proposed ideas are general, limits the scope to relay-based autotuning, and considers a one-degree-of-freedom PI written in the Laplace transform domain as the error-to-control transfer function

$$R(s) = K \left( 1 + \frac{1}{sT_i} \right), \quad (1)$$

where  $K$  is the gain and  $T_i$  the integral time. The basic principle of relay-based autotuning was introduced in [1], and then developed in [2, 8, 4, 16, 9] and many other papers; a survey on the matter, for the interested reader, can be found in [21].

In extreme synthesis, the idea is to lead the controlled system to a limit cycle by substituting the controller to be tuned with a relay, as shown in figure 1.

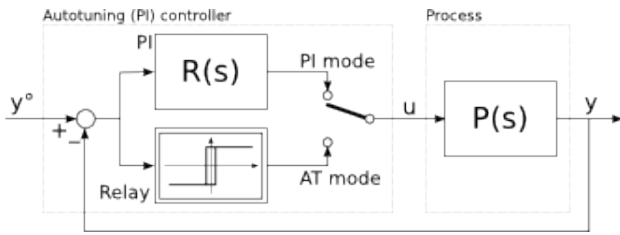


Figure 1: Basic scheme for relay-based (PI) autotuning.

Once said condition is established, by measuring the period and amplitude of the induced controlled variable's oscillation and by resorting to the well known describing function approximation, it is possible to estimate one point  $\hat{P}(j\omega_{ox}) = P_{ox}e^{j\varphi_{ox}}$  of the process frequency response  $P(j\omega)$ , where  $\omega_{ox}$  is the mentioned oscillation frequency. Then, to tune the PI, a point  $\bar{L}$  is chosen that the open-loop frequency response  $L(j\omega) = R(j\omega)P(j\omega)$  has to contain, and the two parameters of the regulator  $R(s)$  are found by solving the complex equation

$$R(j\omega_{ox})P_{ox}e^{j\varphi_{ox}} = \bar{L}. \quad (2)$$

A widely used specification in relay-based PI autotuning is the closed-loop phase margin  $\varphi_m$ , which is enforced in a straightforward way by forcing  $L(j\omega)$  to cross the unit circle, at frequency  $\omega_{ox}$ , in the point  $\bar{L} = e^{j(\varphi_m - \pi)}$ , with  $\varphi_m$  in radians.

In this work, a slight variant of the scheme shown in figure 1 is used, where the relay is hysteresis-free, or has so small a hysteresis to allow the real negative semiaxis to be considered its critical point locus, and there is an integrator cascaded to it. Doing so causes the oscillation to occur at the frequency where the phase of  $P(j\omega)/(j\omega)$  is  $-\pi$ , i.e., that of  $P(j\omega)$  is  $-\pi/2$ . The situation is illustrated in figure 2, where  $M$  denotes the frequency response magnitude of  $P(s)/s$  at frequency  $\omega - ox$

In this case, some computations omitted for brevity lead to determine the magnitude of  $P(j\omega)$  at the oscillation frequency  $\omega_{ox}$  as

$$P_{ox} = \frac{\pi^2 A}{8D}, \quad (3)$$

where  $A$  is the amplitude of the controlled variable's permanent oscillation, and  $D$  the relay swing. Selecting the process frequency response point with phase

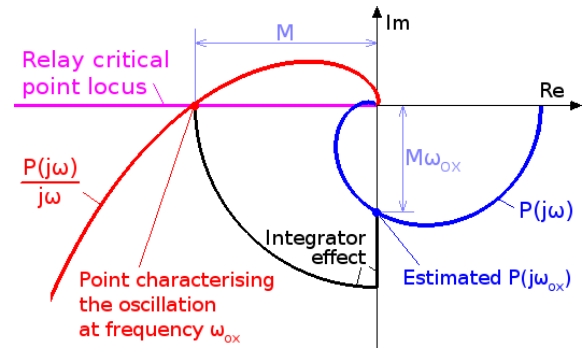


Figure 2: One-point identification with relay plus integrator feedback.

$-\pi/2$  is a convenient choice, since a PI regulator can only introduce a phase lag: the desired phase margin  $\varphi_m$  is in fact obtained by drawing from (2) the two real equations for magnitude and phase, whence the simple tuning rules

$$T_i = \frac{\tan(\varphi_m)}{\omega_{ox}}, \quad K = \frac{\tan(\varphi_m)}{P_{ox}\sqrt{1 + \tan^2(\varphi_m)}}, \quad (4)$$

that are used for the PI autotuner presented later on in this work.

Many variants of (4) exist in the literature, see e.g. [18, 20] or the so called ‘‘contextual autotuning’’ recently proposed in [12]. Moreover, the same tuning principle is applicable to the PID, and also to more complex regulator structure, possibly detecting and employing several points of  $P(j\omega)$ . The results shown here can be easily extended to any such case.

### 3 Modelica implementation

This section presents two Modelica realisations (the first fully digital, the second hybrid) of the considered autotuning methodology. In both cases, the icon of the resulting block is that of figure 3.

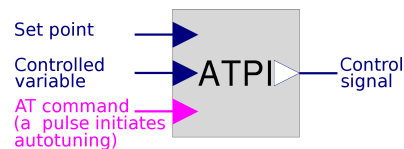


Figure 3: Modelica icon of the autotuning PI controller.

The block inputs are the set point and the process variable, plus a boolean one, a pulse on which initiates the autotuning procedure; the output is clearly the control signal. The initial values for  $K$  and  $T_i$ , as well as the required phase margin, are provided as parameters.

In both realisations, with reference to figure 1 and the relationships introduced above, the autotuning procedure is composed of the following steps:

1. start with the controller in PI mode;
2. when the AT pulse is received,
  - (a) initialise the relay plus integrator control,
  - (b) connect it to the process,
  - (c) and wait for a permanent oscillation;

in the quite simple procedure presented here, an oscillation is considered permanent when the difference between its period and that of the previous one is less than a percent defined as parameter, while - for the sake of safety in the face of possible outliers - a certain number of oscillations, defined as a parameter too, is counted unconditionally before proceeding;

3. when a permanent oscillation is detected, compute its frequency, and by means of (3) determine the corresponding process frequency response magnitude (the phase is  $-\pi/2$ );
4. apply (4) to tune the regulator, and finally switch back to PI mode.

It is worth noticing that any industrial realisation would be more articulated than those illustrated in the following. For example, some logic would need introducing to abort the procedure in the case of unexpected and/or possibly harmful system behaviours, a confirmation should be requested to the operator in order to accept or decline the proposed parameters prior to updating the PI, and so forth. Such features are however omitted here since they are lengthy to discuss in the necessary detail, and substantially inessential for the purpose of this work.

### 3.1 Fully digital version

Based on the procedure sketched above, it is quite simple to write a digital Modelica model like that reported below, together with some comments that should be explicative enough compatibly with space limitations.

```

model ATPIrelayNCdigital
import Modelica.Constants.*;
parameter Real KO          = 1   "Initial K";
parameter Real Ti0         = 10  "Initial Ti";
parameter Real slope       = 0.1 "relay integrator gain (control slope)";
parameter Real permOxPerc = 5    "% diff to take oscillations as equal";
parameter Real pm          = 45  "reqd phase margin in degrees";
parameter Real CSmax       = 1    "upper control saturation value";
parameter Real CSmin       = 0    "lower control saturation value";
parameter Integer nOxMin   = 3    "oxs to wait for unconditionally";
parameter Real Ts          = 0.1  "sampling time";
protected

```

```

discrete Boolean UP;          // relay is in the up state
discrete Real lastToggleUp;  // instant of last toggle to up
discrete Real period;        // measured ox period
discrete Real wox;          // measured ox frequency
discrete Real Pox;          // measured process mag at wox
discrete Real rPVmax        // service variables to measure the
discrete Real rPVmin;        // min and max values of the process
discrete Real rCSmax;        // variable and the control during
discrete Real rCSmin;        // oscillations
discrete Real K;             // PI gain
discrete Real Ti;           // PI integral time
discrete Real e;            // error (Sp-PV)
discrete Real CSp;          // proportional part of CS
discrete Real CSi;          // integral part of CS
discrete Integer nOx;        // ox counter
discrete Integer iMode;     // mode: 0 is PI, 1 autotuning
Modelica.Blocks.Interfaces.RealInput SP;
Modelica.Blocks.Interfaces.RealInput PV;
Modelica.Blocks.Interfaces.RealOutput CS;
Modelica.Blocks.Interfaces.BooleanInput ATreq;
algorithm
when initial() then
  iMode := 0;
  K     := KO;
  Ti    := Ti0;
end when;
when ATreq==true then
  iMode:=1;
end when;
when sample(0,Ts) then
  e := SP-PV;
  if iMode==0 then // PI mode
    CSp := K*e;
    CSi := pre(CSi)+K*Ts/Ti*e;
    CS := CSp+CSi;
    if CS>CSmax then
      CS:=CSmax;
    end if;
    if CS<CSmin then
      CS:=CSmin;
    end if;
    CSi := CS-CSp;
  end if;
  if iMode==1 then // AT mode
    if pre(iMode)==0 then // 1st step, initialise
      wox := 0;
      Pox := 0;
      rPVmax := PV;
      rPVmin := PV;
      rCSmax := CS;
      rCSmin := CS;
      lastToggleUp := time;
      nOx := 0;
    end if;
    if UP==false and PV<=SP then // Manage relay
      UP := true;
    end if;
    if UP==true and PV>SP then
      UP := false;
    end if;
    if UP==true then
      CS := CS + slope*Ts;
    else
      CS := CS - slope*Ts;
    end if;
    if PV>rPVmax then // record max and min for PV and CS
      rPVmax := PV;
    end if;
    if PV<rPVmin then
      rPVmin := PV;
    end if;
    if CS>rCSmax then
      rCSmax := CS;
    end if;
    if CS<rCSmin then
      rCSmin := CS;
    end if;
    if UP==true and pre(UP)==false then // tune if perm ox
      period := time-lastToggleUp;
      lastToggleUp := time;
      if period>0 and nOx>=nOxMin
        and abs(period-pre(period))/period
          < permOxPerc/100 then
        iMode := 0;
        wox := 2*pi/period;
        Pox := pi^2*(rPVmax-rPVmin)/8/(rCSmax-rCSmin);
        Ti := tan(pm/180*pi)/wox;
        K := tan(pm/180*pi)/(Pox*sqrt(1+(tan(pm/180*pi))^2));
        CSi := CS-K*Ts/Ti*e; // re-initialise the PI after AT
      end if;
      rPVmax := PV;
      rPVmin := PV;
      rCSmax := CS;
      rCSmin := CS;
      nOx := nOx+1;
    end if;
  end if;
end when;
end ATPIrelayNCdigital;

```

### 3.2 From fully digital to hybrid

When everything is digital, things are simple, and the only issue to care about is to correctly manage the regulator tracking while the relay is driving the control signal so as to achieve the required permanent oscillation. If conversely one wants to represent the controller as a continuous-time system, it is necessary to suitably coordinate it with the digital procedure.

The solution adopted here can be summarised as follows. First, implement the controller in the desired form (here, for consistence with the digital case, an antiwindup PI was chosen) as differential and algebraic equations. Then, realise the autotuning procedure as a digital algorithm, including the control computation during that procedure, exactly as it was in the fully digital case. Finally, manage the autotuning request event by (a) setting a flag that selects the control output to be that coming from the equations or the algorithm, depending on the mode, and (b) initialising the algorithm output to the last equation output. Analogously, manage the autotuning termination by resetting the above flag, and reinitialising the equation-based controller state to match the last algorithm output.

The only (small) disadvantage of such a solution is that the equation-based controller stays in place during the autotuning phase. However the resulting overhead is generally very limited, given the invariantly simple structure of the controller, while there is a gain in terms of simplicity with respect to possible alternative solutions attempting to avoid said overhead.

### 3.3 Hybrid version

The PI for this realisation is implemented in antiwindup form, i.e., as the block diagram of figure 4.

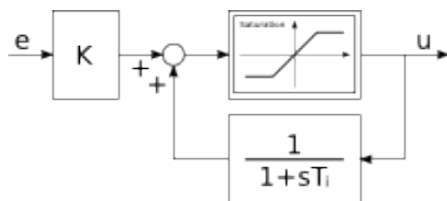


Figure 4: Block diagram of the used continuous-time antiwindup PI.

That scheme corresponds in Modelica to the equations

```
satIn = K*(SP-PV)+linFBout;
CSpi = Ti*der(linFBout)+linFBout;
CSpi = noEvent(max(CSmin,min(CSmax,satIn)));
```

where CSpi is the control signal in PI mode ( $u$  in figure 4), satIn the input of the saturation block, and

linFBout the output of the feedback block, added in the diagram to the term  $Ke$ .

Given all that, the Modelica model of the hybrid autotuning PI is shown in the listing below.

```
model ATPirelayNCmixedMode
import Modelica.Constants.*;
// ... same parameters as the fully digital version ...
Integer iMode;
Real K;
Real Ti;
Real satIn;
Real linFBout(start=0,stateSelect=StateSelect.always);
Real CSpi;
discrete Real CSat;
discrete Boolean AT;
discrete Boolean UP;
discrete Real rPVmax;
discrete Real rPVmin;
discrete Real rCSmax;
discrete Real rCSmin;
discrete Real lastToggleUp;
discrete Real period;
discrete Real wox;
discrete Real Pox;
discrete Integer nOx;
// ... same connectors as the fully digital version ...
equation
// Continuous-time antiwindup PI
satIn = K*(SP-PV)+linFBout;
CSpi = Ti*der(linFBout)+linFBout;
CSpi = noEvent(max(CSmin,min(CSmax,satIn)));
// Output selection
if iMode==0 or iMode==1 then // 0, PI or 1, AT init
  CS = CSpi;
else // 2, AT run
  CS = CSat;
end if;
algorithm
// Autotuning procedure
when initial() then
  K := K0;
  Ti := Ti0;
  AT := false;
end when;
when ATreq and sample(0,Ts) then // Turn on AT when required
  if not AT then
    AT := true; // set AT flag on
    iMode := 1; // set next mode to AT init
  end if;
end when;
when AT and iMode==1 and sample(0,Ts) then // AT init mode
  iMode := 2; // set mode to AT run
  CSat := pre(CSpi);
  UP := false;
  period := 0;
  wox := 0;
  Pox := 0;
  rPVmax := pre(PV);
  rPVmin := pre(PV);
  rCSmax := CSat;
  rCSmin := CSat;
  lastToggleUp := time;
  nOx := 0;
end when;
when (iMode==1 or iMode==2) and not AT
  and sample(0,Ts) then // AT shutdown;
  iMode := 0;
  reinit(linFBout,CSat); // re-initialise the continuous-time PI
end when;
when AT and iMode==2 and sample(0,Ts) then // AT run mode
  if UP==false and PV<=SP then // Manage relay
    UP := true;
  end if;
  if UP==true and PV>SP then
    UP := false;
  end if;
  if UP==true then
    CSat := CSat + slope*Ts;
  else
    CSat := CSat - slope*Ts;
  end if;
  if PV>rPVmax then // record relay id max and min for PV and CS
    rPVmax := PV;
  end if;
  if PV<rPVmin then
    rPVmin := PV;
  end if;
  if CSat>rCSmax then
    rCSmax := CSat;
  end if;
  if CSat<rCSmin then
    rCSmin := CSat;
  end if;
  if UP==true and pre(UP)==false then // tune if perm ox
    period := time-lastToggleUp;
  end if;
end when;
end model
```

```

lastToggleUp := time;
if period>0 and n0x>=n0xMin
  and abs(period-pre(period))/period
    < perm0xPeriodPerc/100 then
  AT
  := false;
  wox
  := 2*pi/period;
  Pox
  := pi^2*(rPVmax-rPVmin)/8/(rCSmax-rCSmin);
  Ti
  := tan(pm/180*pi)/wox;
  K
  := tan(pm/180*pi)/(Pox*sqrt(1+(tan(pm/180*pi))^2));
end if;
rPVmax := PV;
rPVmin := PV;
rCSmax := CSat;
rCSmin := CSat;
n0x := n0x+1;
end if;
end when;
end ATPIrelayNCmixedMode;
    
```

Notice the presence of some `noEvent` clauses. In principle they can be omitted, but leaving them in slightly reduces the computational burden and, above all, is consistent with the operation of real-world autotuners, where inputs are typically acquired only at the beginning of a sampling period.

Also, observe how the proposed structuring can be quite easily generalised, including different tuning rules, different types of process stimulation (e.g., step-instead of relay-based) and different controller structures, since the presence of the autotuning *algorithm* does not modify in any sense the controller *equations*.

## 4 Simulation examples

In this section, two simulation examples are reported, to show the advantages yielded by the proposed autotuner models, and to verify their correct behaviour in realistic situations.

### 4.1 Example 1

This example aims at illustrating the correctness of the hybrid realisation, and its usefulness in terms of simulation efficiency.

The Modelica scheme used for the example is that of figure 5, where the ATPI block is the fully digital or the hybrid autotuning PI, alternatively.

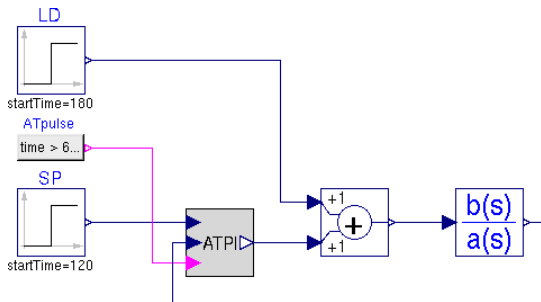


Figure 5: Modelica scheme for simulation example 1.

The process under control is described by the transfer function

$$P_1(s) = \frac{1}{(1+s)^3} \quad (5)$$

and the autotuning PI, in both the fully digital and the hybrid versions, is employed with a sampling time  $T_s$  of 0.1s, first leading the loop to steady state with a low-performance initial PI, then performing the autotuning operation with a required phase margin of  $45^\circ$ , and finally testing the so obtained PI with a set point and a load disturbance step, introduced respectively by the step sources SP and LD in figure 5.

Figure 6 shows the results, proving that the two realisations are *de facto* identical as for their outcome (in both cases, for example, the tuned PI has  $K = 1.078$  and  $T_i = 1.751$ ). On the other hand, however, the number of simulation steps required by the system with the hybrid autotuner in the 240s presented run is 3908, versus the 24007 of the system with the fully digital one. With so simple a process this does not turn into a significant reduction of the simulation time, but with more realistic a model of the controlled object, said advantage would be evident.

### 4.2 Example 2

This example shows the presented autotuner at work on a (slightly) more realistic example, namely the speed control of an axis, the model of which is built with standard Modelica blocks (with the sole exception of a noise generator) and is shown in figure 7. Three tuning operations are performed, with three different values of the required phase margin, namely  $40^\circ$ ,  $60^\circ$ , and  $80^\circ$ .

Figure 8 shows the tuning results, obtained with the hybrid version of the autotuner (of course the fully digital one produces the same outcome). For brevity only the final part of the performed simulations is shown, when the PI is already tuned and the closed-loop system behaviour is tested by applying a set point step.

As can be seen, even in the presence of (reasonably) noisy measurements, the autotuning PI behaves correctly. It must be noticed that with the used tuning approach, the control system's cutoff frequency is dictated by the relay plus integrator experiment, as it clearly becomes  $\omega_{ox}$ . For that reason, the relationship between the required phase margin and the *shape* of the obtained closed-loop transients, or even basic characteristics such as the maximum overshoot, is difficult to characterise in a formal way. Incidentally, this is especially true in the presence of resonances above the cutoff, which is typical of mechanical systems.

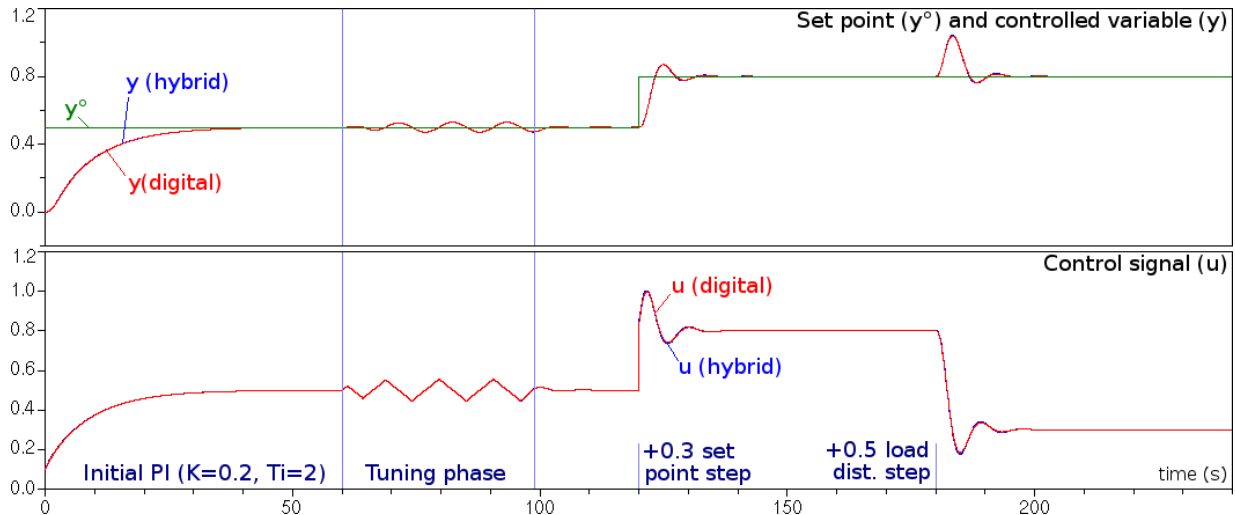


Figure 6: Closed-loop transients in simulation example 1.

Nonetheless, the prescribed phase margin is achieved, and in any case the mentioned difficulty is inherent to the employed autotuning approach, not to its Modelica representation. The interested reader can find in [8] a discussion on this matter.

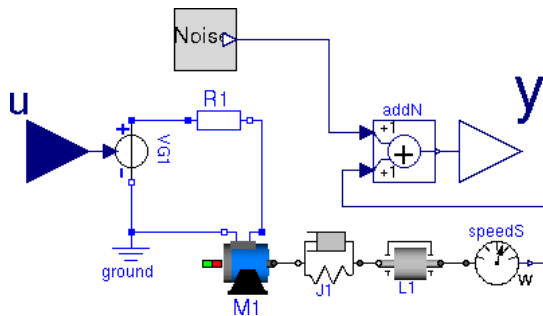


Figure 7: Model of the axis used in simulation example 2.

## 5 Some more words on the proposal usefulness

It was suggested above, as one of the motivations for this work, that a Modelica library of autotuners is useful to quickly set up the control system of a plant, or at least the part of it that is composed of PI(D) loops, and to verify the correct behaviour of a new autotuner by applying it in simulation to a benchmark set of models, conveniently chosen so as to represent the whole variety of applications where the new product is meant to be used.

After looking at the examples, and taking a more research-related point of view, at least one more use-

fulness can however be foreseen for such a library, and the underlying model structuring. Apologising in advance for the number of remarks to report prior to reaching the main point, the matter can be explained as follows.

In the first place, as can be noticed e.g. from the extensive review [17], establishing a taxonomy of tuning methods, also if the scope is restricted to a single controller structure, is far less trivial than one may expect.

Even more difficult is to set up a *comparative analysis* of such methods, basically because in the literature, when proposing and discussing a method, the process stimulation and information gathering phase is seldom accounted for. As shown in works like [14], comparisons between different tuning methods can be reversed by simply modifying the way in which the process is stimulated.

For the sake of completeness, it is worth observing that relay-based rules are the less keen to incur in that problem, since there is virtually no ambiguity on how process information is obtained and use with respect for example to the step-based identification of a fixed-order model, that can be carried out in a variety of manners, but nevertheless the problem exists, and needs addressing.

The absence of a taxonomy like that just envisaged is felt in the applications as an important open problem, see e.g. [11], because it makes it difficult to decide *a priori* which tuning rule is best suited for the particular problem at hand. In the opinion of the authors, the fact that a tuning method “sometimes works satisfactorily and sometimes does not”, with no apparent reason, is a major reasons for the resistances that autotuning still encounters in some applications. It is

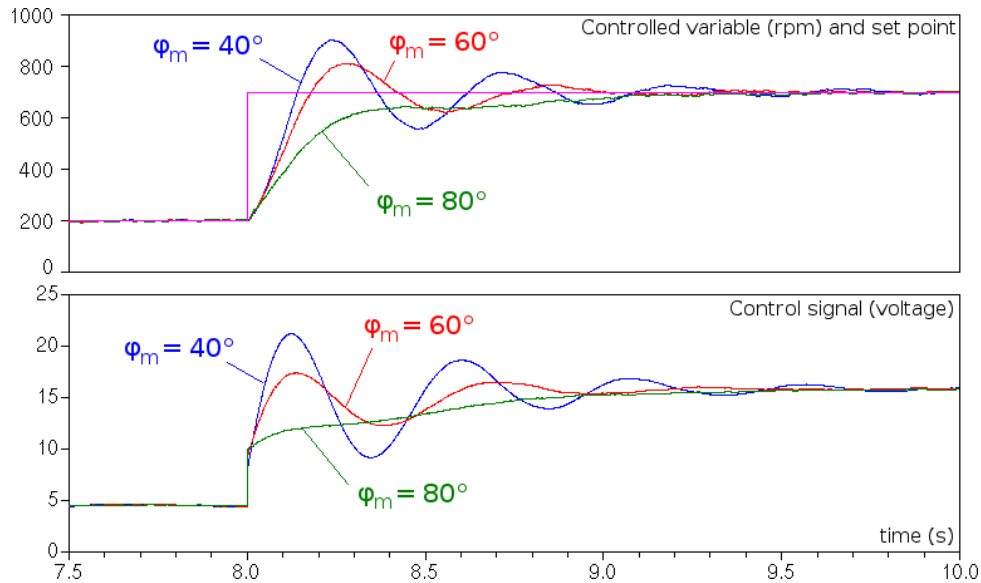


Figure 8: Tuning results in simulation example 2.

by definition possible to decide which rule (in a given and wide enough set) is the best for a given problem *a posteriori*, by simply applying all the rules in the set and examining the results, but this is clearly infeasible in practice.

As a result, most tuning rules are discussed “in nominal conditions”, i.e., making some structural assumptions on the process dynamics and performing the analysis under the hypothesis that the real process adheres to said assumptions [3].

Some attempts were made to circumvent the problem by means of the robust control theory, but this requires information on the *class* of processes to which the one under control belongs, and no matter how such a class has to be characterised, no *single* experiment can provide the necessary information. Attempts were also made to bring in the “identification for control” theory [6, 7], but unfortunately in many cases technological limitations do not permit to apply process inputs with the necessary excitation characteristics, and leave little (if any) room for “experiment design” as meant for in that theory.

For the problem just sketched, the presented library offers (part of) a solution. In fact, if evaluating a set of control rules *a posteriori* is infeasible in practice, it is not in simulation. Having in mind the type of application to be addressed (thermal, mechanical, and so forth), one can set up an enormous set of cases, test each considered rule on each case, and draw from such a simulation campaign the information required to set up a selection mechanism. In fact there are plenty of techniques to create such a mechanism, from interpo-

lation to soft computing [13, 10], and what is typically missing is precisely the data. On a similar front, when introducing a *new* tuning rule, the proposal is significantly strengthened if some idea is provided on how it will behave when coupled to realistic process experiments. Providing such information requires a lot of additional work with respect to the typical analyses performed in the literature, that are most frequently based on linear models, because in that domain is autotuning typically treated, and only the linear framework allows for powerful methods that do not require simulation.

As noticed e.g. in [19], however, the used models are frequently inadequate to examine the behaviour of an autotuner in the large, and therefore the mentioned analyses are sometimes confuted by experience, thereby further hindering a wide adoption of autotuning. Needless to explain why and how, the availability of a library like that presented here can help solve also this problem.

## 6 Conclusions and future work

The problem of simulating autotuning industrial controllers in Modelica was addressed, with the specific aim of obtaining efficient models. To this end, the controller is represented as a continuous-time system, while the autotuning procedure is realised as an algorithm. The proposed model structuring thereby allows to separate the two main parts of an autotuner clearly, preserving the simulation speed yielded by continuous-time control blocks, and replicating the autotuning software precisely.

As shown by the reported examples, and a number of other ones not reported here for space reasons, the so obtained simulation models are very precise if compared to fully digital ones, that certainly represent industrial implementation more closely, but oblige to pay for said fidelity in terms of simulation speed.

In this work, the focus was restricted to relay-based PI autotuning based on a single point of the process frequency response. It is however clear that the presented structuring is totally general, with respect to both the controller structure, the type of process stimulation, the tuning rules, and all in all the overall tuning procedure, inclusive of the logic needed to control the tuning operation. Future research will thus explore all those extensions, leading to a complete Modelica library of autotuning controllers, including different tuning rules and excitation procedures, and possibly addressing not only single controller blocks, but also the most frequently used control structures.

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