

# Validation of an Open-Source Mean-Value Heavy-Duty Diesel Engine Model

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## Abstract

The pursuit for lower fuel consumption and stricter emission legislation has made a simulation- and optimization-based development methodology important to the automotive industry. The keystone in the methodology, is the system model. But for the results obtained using a model to be credible, the model has to be validated. The paper validates an open-source, mean-value engine model of a 12.7 liters CI inline 6 cylinder heavy-duty engine, and releases it as open-source.

*Keywords: Mean-value engine model, 0-D modeling, Simulation, Optimal control*

## 1 Introduction

In today's automotive industry, there is a drive for lower fuel consumption and better control of emissions. Simulation and numerical optimization are two tools that can be used to achieve that. The keystone in a simulation driven approach, is the system model. For it (and the results obtained using it) to be credible, it needs to be validated. This paper presents the model structure of an open-source engine model and validates it.

The engine is a 12.7 liters CI inline 6 cylinder Scania engine. The model structure is a mean-value engine model (MVEM) (Heywood, 1988), this type of structure does not model the piston movement explicitly. Instead the mean flow in and out of the cylinders is modeled. This makes the model computationally efficient, and suitable for control and optimization of the air and fuel path of the engine. It has a minimal number of states for efficient simulations, and is continuously differentiable for use with gradient-based optimization solvers.

The model is a continuation of previous modeling work by the authors, described in Ekberg et al. (2017). In contrast to the previous work, *indicated mean effective pressure* (IMEP), *pump mean effective pressure* (PMEP) and *friction mean effective pressure* (FMEP), have been remodeled, the compressor model has been changed for a more advanced model presented in Llamas and Eriksson (2017), the turbine has been remodeled to better describe the efficiency, and the model is validated as a complete system.

The purpose of this paper is to provide an open model to the research community, and to invoke confidence in the

model by validating it. The paper is outlined as follows. In Section 2 the data sets are presented, in Section 3 the estimation criterion is presented, in Section 4 the model equations are presented and the sub-models are validated, in Section 5 all submodels are connected and the complete modeled is tuned and validated against measurement data, and in Section 6 the model is simulated and its basic simulation properties are presented.

## 1.1 Contribution

The main contribution of this paper is a validated open-source MVEM of a Scania 12.7 liters 6 cylinder engine, downloadable from [www.fs.isy.liu.se/Software/](http://www.fs.isy.liu.se/Software/). Also, new component models for the engine torque, and an adaptation of an existing turbine model are introduced.

## 2 Data

Six datasets have been used for modeling and validation, see Table 1. Dataset A is dynamometer data of the engine, and is the primary modeling set. Dataset B is simulation data from a model of the same engine obtained using a detailed model implemented in GT-Power (Gamma Technologies, 2004). In B, the air-to-fuel ratio is varied for the operating points and is primarily used to develop the torque model. Dataset C is used to model the compressor, and dataset D is used for modeling the turbine. Dataset E is used to validate the complete model, and dataset F is used for throttle modeling.

**Table 1.** Datasets used to find model parameters.

Dataset	Signals	Samples
A. Stationary measurement data	24	235
B. GT Power Simulation	22	160
C. Compressor map	4	73
D. Turbine map	4	38
E. Dynamic measurement data	24	69598
F. Throttle effective area	1	11

### 3 Parameter Estimation

To parameterize the sub-models, the following criterion is used:

$$\theta^* = \arg \min_{\theta} \sum_{k=1}^K e_k^2(\theta) \quad (1)$$

where  $\theta^*$  are the criterion minimizing parameters,  $e_k$  the model error at sample  $k$ , and  $K$  the number of samples.

Some of the submodels are not modeled using dataset A, which is the desired model behavior at steady-state. To compensate for this, the parameters need to be refitted to represent dataset A. This is done using a regularization technique, which is selected in order to preserve the model structure, according to:

$$\theta^* = \arg \min_{\theta} \left( \sum_{k=1}^K e_k^2(\theta) + C \sum_{i=1}^I (\mu_i \frac{\theta_i^* - \theta_i}{\theta_i^*})^2 \right) \quad (2)$$

where  $\theta^*$  are the parameters refitted to dataset A,  $\theta^*$  the parameters obtained using (1) and a dataset different than A,  $I$  the numbers of parameters to refit,  $\mu_i$  the weight assigned to parameter  $i$ , and  $C$  the cost of changing the parameters.

To evaluate the model fit, the following measures are used:

$$\bar{e} = \frac{1}{K} \sum_{k=1}^K |e_k| \quad (3)$$

$$e_{\sigma} = \sqrt{V[|e_k|]} \quad (4)$$

$$\bar{e}_{\text{rel}} = \frac{1}{K} \sum_{k=1}^K \left| \frac{e_k}{s_k} \right| \quad (5)$$

$$e_{\text{rel},\sigma} = \sqrt{V[|e_k/s_k|]} \quad (6)$$

where  $\bar{e}$  is the mean absolute error,  $e_{\sigma}$  the variance of the absolute error,  $\bar{e}_{\text{rel}}$  the relative error,  $s_k$  the  $k$ :th sample, and  $e_{\text{rel},\sigma}$  the variance of the relative error. The variance was calculated using the command `var` in Matlab R2017b.

### 4 Model

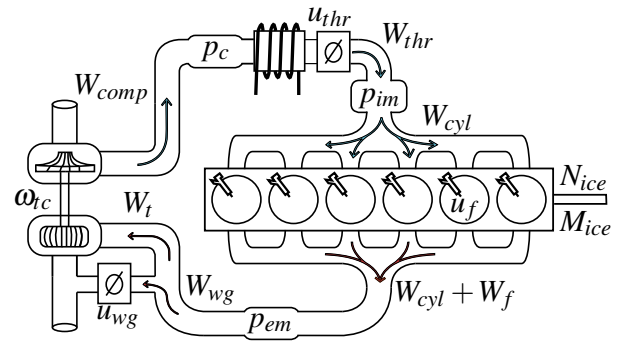
In the presentation of the validated model structure, the time dependence,  $(t)$ , is used to distinguish variables from constants, dependence on other variables is omitted for notational simplicity.

The model has four states  $x(t)$ :

$$x(t) = [p_c(t), p_{\text{im}}(t), p_{\text{em}}(t), \omega_{\text{tc}}(t)] \quad (7)$$

where  $p_c$  is the pressure after the compressor,  $p_{\text{im}}$  the intake manifold pressure,  $p_{\text{em}}$  the exhaust manifold pressure, and  $\omega_{\text{tc}}$  the turbocharger angular velocity. The model further has three control inputs  $u(t)$ :

$$u(t) = [u_f(t), u_{\text{thr}}(t), u_{\text{wg}}(t)] \quad (8)$$



**Figure 1.** Model overview. Shown are the four states: pressure after the compressor  $p_c$ , intake manifold pressure  $p_{\text{im}}$ , exhaust manifold pressure  $p_{\text{em}}$  and turbocharger angular velocity  $\omega_{\text{tc}}$ , and the three control inputs: Fuel injection per cycle  $u_f$ , throttle effective area  $u_{\text{thr}}$ , and wastegate effective area  $u_{\text{wg}}$ .

where  $u_f$  is the fuel mass injected per cycle,  $u_{\text{thr}}$  the throttle position, and  $u_{\text{wg}}$  the wastegate position. The engine speed,  $N_{\text{ice}}$ , is treated as an exogenous input into the system. Figure 1 shows an overview of the model, where the states and control inputs are visualized.

#### 4.1 Control Volumes

The volume after the compressor, intake manifold and exhaust manifold are seen as thermodynamic control volumes, and modeled as dynamic states with filling and emptying dynamics. Using an isothermal model and by assuming mass conservation, and constant  $c_p$  and  $c_v$ , the models are described as:

$$\frac{d}{dt} p_c(t) = \frac{R_a T_c(t)}{V_c} (W_c(t) - W_{\text{thr}}(t)) \quad (9a)$$

$$\frac{d}{dt} p_{\text{im}}(t) = \frac{R_a T_{\text{im}}}{V_{\text{im}}} (W_{\text{thr}}(t) - W_{\text{cyl}}(t)) \quad (9b)$$

$$\frac{d}{dt} p_{\text{em}}(t) = \frac{R_e T_{\text{em}}(t)}{V_{\text{em}}} (W_{\text{cyl}}(t) + W_f(t) - W_t(t) - W_{\text{wg}}(t)) \quad (9c)$$

##### 4.1.1 Parameters

There are four parameters to estimate: volume after the compressor  $V_c$ , intake manifold volume  $V_{\text{im}}$ , exhaust manifold volume  $V_{\text{em}}$ , and intake manifold temperature  $T_{\text{im}}$ .

##### 4.1.2 Parametrization and Validation

In dataset A, the mean value of the measured temperature in the intake manifold is 304.00 K with a standard deviation of 1.11 K, indicating that a constant temperature in the intake manifold is an acceptable modeling assumption. The charge air cooler is assumed to be ideal, therefore the temperature in the intake manifold equals the surrounding temperature,  $T_{\text{im}} = T_{\text{amb}}$ . The sizes of the volumes  $V_c$ ,  $V_{\text{im}}$  and  $V_{\text{em}}$  are tuned until the dynamics of the model comply with the measurements. Validation of the volume sizes is seen in section 6.

## 4.2 Throttle

In accordance with the throttle modeling approach in Eriksson and Nielsen (2014), the mass flow through the throttle is modeled as an isentropic compressible restriction, according to:

$$W_{\text{thr}}(t) = \frac{p_c(t)}{\sqrt{R_a T_c(t)}} C_{D,\text{thr}} A_{\text{thr,max}} u_{\text{thr}}(t) \Psi_{\text{thr}}(t) \quad (10)$$

where the air mass flow through the throttle is denoted by  $W_{\text{thr}}$ , the maximum throttle area by  $A_{\text{thr,max}}$ , the flow coefficient by  $C_{D,\text{thr}}$ , the temperature by  $T_c$ , and the specific gas constant of air by  $R_a$ . The throttle effective area is controlled directly via the control signal  $u_{\text{thr}}(t)$ . The flow parameter  $\Psi_{\text{thr}}$  is taken from (Shen and Ohata, 2011), and represented as in (Holmbom and Eriksson, 2018):

$$\Psi_{\text{thr}}(t) = \sqrt{\frac{\gamma_a + 1}{2\gamma_a} (1 - \Pi_{\text{thr}}(t)) \left( \Pi_{\text{thr}}(t) + \frac{\gamma_a - 1}{\gamma_a + 1} \right)} \quad (11a)$$

$$\Pi_{\text{thr}}(t) = \begin{cases} \frac{p_{\text{im}}(t)}{p_c(t)} & \text{if } \frac{p_{\text{im}}(t)}{p_c(t)} \geq \frac{1}{\gamma_a + 1} \\ \frac{1}{\gamma_a + 1} & \text{otherwise} \end{cases} \quad (11b)$$

where  $\gamma_a$  is the ratio of specific heats. The conditional equation (11b) does not have a continuous derivative at the switching point. The Logistic function is therefore used to emulate the switching (11b):

$$\Pi_{\text{thr}}(t) = \Pi^{\text{choke}} + c_{\text{switch}}(t)(\Pi(t) - \Pi^{\text{choke}}) \quad (12a)$$

$$c_{\text{switch}}(t) = \frac{1}{1 + e^{-c_{\Psi}(\Pi(t) - \Pi^{\text{choke}})}} \quad (12b)$$

$$\Pi^{\text{choke}} = \frac{1}{\gamma_a + 1} \quad (12c)$$

$$\Pi(t) = \frac{p_{\text{im}}(t)}{p_c(t)} \quad (12d)$$

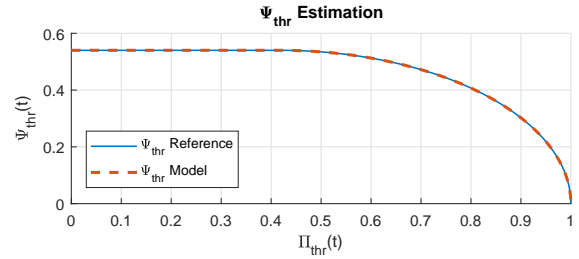
where  $c_{\Psi}$  is the steepness parameter of the Logistic function. The implemented flow parameter  $\Psi_{\text{thr}}(t)$  is modelled as (11a), where  $\Pi_{\text{thr}}(t)$  is modelled according to (12).

### 4.2.1 Parameters

There is one parameter to estimate,  $C_{D,\text{thr}}$ . The throttle area  $A_{\text{thr,max}}$  is known from dataset F.

### 4.2.2 Parametrization and Validation

A dataset for validating the throttle model was not obtained.  $C_{D,\text{thr}}$  is seen as a tuning parameter in optimizing the steady-state levels of the model. A validation of  $\Psi_{\text{thr}}$  is depicted in Figure 2.



**Figure 2.**  $\Psi_{\text{thr}}(t)$ -functions where the blue solid reference is described by (11), the red trace is when  $\Pi_{\text{thr}}(t)$  is described according to (12).

## 4.3 Cylinder

The cylinder air mass flow is modeled with the help of the volumetric efficiency,  $\eta_{\text{vol}}$ , Heywood (1988). It is expressed using a single constant as in (Eriksson and Nielsen, 2014):

$$W_{\text{cyl}}(t) = \eta_{\text{vol}} \frac{V_D p_{\text{im}}(t) N_{\text{ice}}(t)}{n_r R_a T_{\text{im}}} \frac{1}{60} \quad (13)$$

The cylinder fuel mass flow is calculated from the fuel injection per cycle and engine speed:

$$W_f(t) = \frac{n_{\text{cyl}} N_{\text{ice}}(t) u_f(t)}{n_r} \frac{10^{-6}}{60} \quad (14)$$

The fuel-to-air equivalence ratio  $\phi(t)$  is calculated as:

$$\phi(t) = \frac{W_f(t)}{W_{\text{cyl}}(t)} \text{AF}_s \quad (15)$$

where  $\text{AF}_s$  is the air-to-fuel stoichiometric ratio.

To model the engine torque  $M_{\text{ice}}(t)$ , it is broken down into the components: gross indicated torque  $M_{\text{ig}}(t)$ , pumping torque  $M_{\text{pump}}(t)$ , and friction torque  $M_{\text{fric}}(t)$ , and calculated as:

$$M_{\text{ice}}(t) = M_{\text{ig}}(t) - M_{\text{pump}}(t) - M_{\text{fric}}(t) \quad (16)$$

where the three components  $M_{\text{ig}}(t)$ ,  $M_{\text{pump}}(t)$  and  $M_{\text{fric}}(t)$  are expressed in the normalized quantities IMEP, PMEP and FMEP (Eriksson and Nielsen, 2014).

The indicated torque is modeled according to:

$$M_{\text{ig}}(t) = \frac{V_D}{n_r 2\pi} \text{IMEP}(t) \quad (17a)$$

$$\text{IMEP}(t) = \eta_{\text{ig}}(t) \frac{q_{\text{HV}} u_f(t) 10^{-6} n_{\text{cyl}}}{V_D} \quad (17b)$$

$$\eta_{\text{ig}}(t) = \left( 1 - \frac{1}{r_c^{\gamma_{\text{cyl}}(t)-1}} \right) \eta_{\text{cal}}(t) \quad (17c)$$

$$\gamma_{\text{cyl}}(t) = c_{\gamma,0} + c_{\gamma,1} \phi(t) + c_{\gamma,2} \phi^2(t) \quad (17d)$$

Where the operating point dependent losses, are modeled using the following polynomial structure:

$$\eta_{\text{cal}}(t) = c_{\text{cal},2}(t) \left( \frac{u_f(t)}{100} - c_{\text{cal},1}(t) \right)^2 + c_{\text{cal},0} \quad (18a)$$

$$c_{\text{cal},1}(t) = c_{\text{cal},10} + c_{\text{cal},11} \frac{N_{\text{ice}}(t)}{1000} \quad (18b)$$

$$c_{\text{cal},2}(t) = c_{\text{cal},20} + c_{\text{cal},21} \frac{N_{\text{ice}}(t)}{1000} + c_{\text{cal},22} \left( \frac{N_{\text{ice}}(t)}{1000} \right)^2 \quad (18c)$$

The pumping losses are modeled as:

$$M_{\text{pump}}(t) = \frac{V_D}{n_r 2\pi} \text{PMEP}(t) \quad (19a)$$

$$\text{PMEP}(t) = c_{\text{PMEP},0} + c_{\text{PMEP},1}(p_{\text{em}}(t) - p_{\text{im}}(t)) \quad (19b)$$

The losses which are not included in the pumping losses are lumped into the friction term, which is modeled as a polynomial in fuel injection and engine speed:

$$M_{\text{fric}}(t) = \frac{V_D}{n_r 2\pi} \text{FMEP}(t)$$

$$\text{FMEP}(t) = c_{f,0} + c_{f,1} N_{\text{ice}}(t) + c_{f,2} u_f(t) + c_{f,3} u_f(t) N_{\text{ice}}(t) \quad (20)$$

The temperature of the gas exiting the cylinders,  $T_e$ , is modeled based on calculations on an ideal cycle and adding the parameter  $\eta_{\text{sc}}$  to include non-ideal properties:

$$T_e(t) = \eta_{\text{sc}} \Pi_{\text{cyl}}^{1-1/\gamma_a}(t) r_c^{1-\gamma_a} \left( \frac{q_{\text{in}}(t)}{c_{p,a}} + T_{\text{im}} r_c^{\gamma_a-1} \right) \quad (21a)$$

$$q_{\text{in}}(t) = \frac{W_f(t)}{W_f(t) + W_{\text{cyl}}(t)} q_{\text{HV}} \quad (21b)$$

$$\Pi_{\text{cyl}}(t) = \frac{p_{\text{em}}(t)}{p_{\text{im}}(t)} \quad (21c)$$

To take the heat transfer from the exhaust manifold to the surroundings into account, the mean value exhaust gas temperature model from Eriksson (2002) is implemented:

$$T_{\text{em}}(t) = T_{\text{amb}} + (T_e(t) - T_{\text{amb}}) e^{-\frac{c_{\text{em,h}}}{(W_{\text{cyl}}(t) + W_f(t)) c_{p,e}}} \quad (22)$$

### 4.3.1 Parameters

There are 18 parameters to estimate:  $\eta_{\text{vol}}$ ,  $c_{\gamma,0}$ ,  $c_{\gamma,1}$ ,  $c_{\gamma,2}$ ,  $c_{\text{cal},0}$ ,  $c_{\text{cal},10}$ ,  $c_{\text{cal},11}$ ,  $c_{\text{cal},20}$ ,  $c_{\text{cal},21}$ ,  $c_{\text{cal},22}$ ,  $c_{f,0}$ ,  $c_{f,1}$ ,  $c_{f,2}$ ,  $c_{f,3}$ ,  $c_{\text{PMEP},0}$ ,  $c_{\text{PMEP},1}$ ,  $\eta_{\text{sc}}$ , and  $c_{\text{em,h}}$ .

### 4.3.2 Parametrization and Validation

To preserve the properties observed in the data, the parametrization is carried out in steps. The cylinder massflow is estimated using dataset A. The error function is calculated as:

$$e_{k,\eta_{\text{vol}}} = (\eta_{\text{vol,data},k} - \eta_{\text{vol}})^2 \quad (23)$$

The resulting fit is shown in Table 2.

The indicated torque is estimated using data set B (Table 1). The parameterization was done by minimizing the following error function:

$$e_{k,\text{IMEP}} = (\text{IMEP}_{\text{data},k} - \text{IMEP}_{\text{model},k}(\theta_{\text{IMEP}}))^2 \quad (24a)$$

$$\theta_{\text{IMEP}} = [c_{\gamma,0}, c_{\gamma,1}, c_{\gamma,2}, c_{\text{cal},10}, c_{\text{cal},11}, c_{\text{cal},20}, c_{\text{cal},21}, c_{\text{cal},22}] \quad (24b)$$

The resulting fit is shown in Table 3.

The pumping torque is estimated using data set B (Table 1). The parameterization was done by minimizing the following error function:

$$e_{k,\text{PMEP}} = (\text{PMEP}_{\text{data},k} - \text{PMEP}_{\text{model},k}(\theta_{\text{PMEP}}))^2 \quad (25a)$$

$$\theta_{\text{PMEP}} = [c_{\text{PMEP},0}, c_{\text{PMEP},1}] \quad (25b)$$

The resulting fit is shown in Table 3.

The friction torque is estimated using data set B (Table 1). The parameterization was done by minimizing the following error function:

$$e_{k,\text{FMEP}} = (\text{FMEP}_{\text{data},k} - \text{FMEP}_{\text{model},k}(\theta_{\text{FMEP}}))^2 \quad (26a)$$

$$\theta_{\text{FMEP}} = [c_{f,0}, c_{f,1}, c_{f,2}, c_{f,3}] \quad (26b)$$

The resulting fit is shown in Table 3.

For the model describing the exhaust manifold temperature, the loss function is calculated as:

$$e_{k,T} = (T_{\text{em,data},k} - T_{\text{em,model},k}(\theta_{T_{\text{em}}}))^2 \quad (27a)$$

$$\theta_{T_{\text{em}}} = [\eta_{\text{sc}}, c_{\text{em,h}}] \quad (27b)$$

The resulting fit is shown in Table 2.

**Table 2.** Cylinder model fit to dataset A.  $\bar{e}$  is the mean absolute error,  $e_{\sigma}$  the variance of the absolute error,  $\bar{e}_{\text{rel}}$  the relative error, and  $e_{\text{rel},\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_{\sigma}$	$\bar{e}_{\text{rel}}$	$e_{\text{rel},\sigma}$
$\eta_{\text{vol}}$	0.84 %	0.61 %	0.92 %	0.69 %
$T_{\text{em}}$	5.20 K	3.40 K	0.78 %	0.49 %

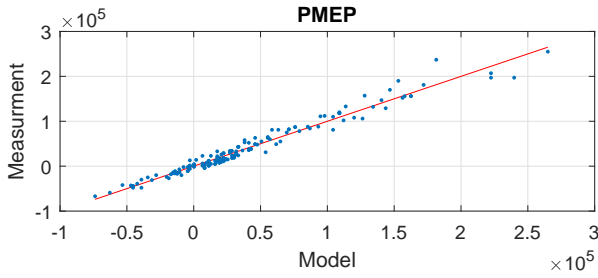
**Table 3.** Cylinder model fit to dataset B.  $\bar{e}$  is the mean absolute error,  $e_{\sigma}$  the variance of the absolute error,  $\bar{e}_{\text{rel}}$  the relative error, and  $e_{\text{rel},\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_{\sigma}$	$\bar{e}_{\text{rel}}$	$e_{\text{rel},\sigma}$
IMEP	24.0 kPa	11.7 kPa	1.57 %	0.42 %
PMEP	99.2 kPa	105.1 kPa	23.0 %	13.6 %
FMEP	0.75 kPa	0.52 kPa	0.70 %	0.43 %

## 4.4 Turbocharger

The turbocharger dynamics is modeled from Newton's second law of motion according to:

$$\frac{d}{dt} \omega_{\text{tc}}(t) = \frac{P_t \eta_m(t) - P_c(t)}{\omega_{\text{tc}}(t) J_{\text{tc}}} \quad (28)$$



**Figure 3.** PMEP fit to dataset B. Red line shows the one-to-one ratio.

#### 4.4.1 Parameters

There is one parameter to estimate:  $J_{tc}$ . The validation is seen in Section 6.

### 4.5 Turbine

The turbine power, including the mechanical efficiency of the turbocharger shaft is calculated according to:

$$P_t \eta_m(t) = W_t(t) c_{p,e} T_{em}(t) \eta_t(t) \left(1 - \Pi_t(t)^{1-1/\gamma_e}\right) \quad (29a)$$

$$\Pi_t(t) = \frac{p_{ats}}{p_{em}(t)} \quad (29b)$$

where  $p_{ats}$  is the pressure in the exhaust aftertreatment system. For the flow, the square root turbine flow model in (Eriksson and Nielsen, 2014) is adapted to describe dataset D:

$$W_{t,corr}(t) = k_0(t) \left(1 - \Pi_t(t)^{k_1(t)}\right)^{k_2(t)} \quad (30a)$$

$$k_0(t) = c_{00} + c_{02} N_{tc,corr,I}^2(t) \quad (30b)$$

$$k_1(t) = c_{10} + c_{11} N_{tc,corr,I}(t) \quad (30c)$$

$$k_2(t) = c_{20} + c_{21} N_{tc,corr,I}(t) + c_{22} N_{tc,corr,I}^2(t) \quad (30d)$$

$$N_{tc,corr,I}(t) = \frac{\omega_{tc}(t)}{\sqrt{T_{em}(t)}} \frac{30}{\pi} \frac{1}{1000} \quad (30e)$$

The turbine mass flow is calculated as:

$$W_t(t) = W_{t,corr}(t) \frac{p_{em} 10^{-3}}{\sqrt{T_{em}}} \quad (31)$$

For the turbine efficiency, the standard approach of modeling it from the blade-speed-ratio (BSR) is taken. However, since the BSR lines do not overlap in the BSR– $\eta$  plane in dataset D, a speed dependence is in-

cluded. The model is described by:

$$\eta_t(t) = \eta_{t,max}(t) - k_\eta(t) (BSR(t) - BSR_{opt}(t))^2 \quad (32a)$$

$$BSR(t) = \frac{\omega_{tc}(t) D_t / 2}{\sqrt{2 c_{p,e} T_{em}(t) (1 - \Pi_t(t))^{1-1/\gamma_e}}} \quad (32b)$$

$$BSR_{opt}(t) = c_{BSR,0} + c_{BSR,1} N_{tc,corr,II}(t) + \quad (32c)$$

$$c_{BSR,2} N_{tc,corr,II}^2(t) \quad (32d)$$

$$\eta_{t,max}(t) = c_{\eta,0} + c_{\eta,1} N_{tc,corr,II}(t) \quad (32e)$$

$$k_\eta(t) = c_{max,0} + c_{max,1} N_{tc,corr,II}(t) \quad (32f)$$

$$N_{tc,corr,II}(t) = \frac{\omega_{tc}(t)}{\sqrt{T_{em}(t)}} \frac{30}{\pi} \frac{1}{10000} \quad (32g)$$

#### 4.5.1 Parameters

There are 14 parameters to estimate:  $c_{00}$ ,  $c_{02}$ ,  $c_{10}$ ,  $c_{11}$ ,  $c_{20}$ ,  $c_{21}$ ,  $c_{22}$ ,  $c_{BSR,0}$ ,  $c_{BSR,1}$ ,  $c_{BSR,2}$ ,  $c_{\eta,0}$ ,  $c_{\eta,1}$ ,  $c_{max,0}$ , and  $c_{max,1}$ .

#### 4.5.2 Parametrization and Validation

The parameterization is carried out by parameterizing the mass flow model and efficiency model separately using dataset D. For the mass flow, the loss function is calculated as:

$$e_{k,W_t} = (W_{t,data,k} - W_{t,model,k}(\theta_{W_t}))^2 \quad (33a)$$

$$\theta_{W_t} = [c_{00} \ c_{02} \ c_{10} \ c_{11} \ c_{20} \ c_{21} \ c_{22}] \quad (33b)$$

The model fit is shown in Table 4. For the efficiency, the following loss function is used:

$$e_{k,\eta_t} = (\eta_{t,data,k} - \eta_{t,model,k}(\theta_{\eta_t}))^2 \quad (34)$$

$$\theta_{\eta_t} = [c_{BSR,0} \ c_{BSR,1} \ c_{BSR,2} \ c_{\eta,0} \ c_{\eta,1} \ c_{max,0} \ c_{max,1}] \quad (35)$$

The model fit is shown in Table 4.

### 4.6 Wastegate

The wastegate is developed in the same way as the throttle (see section 4.2), apart from  $\gamma_a$  which is replaced by  $\gamma_e$ . The wastegate mass flow is described by:

$$W_{wg}(t) = \frac{p_{em}(t)}{\sqrt{R_e T_{em}}} C_{D,wg} A_{wg,max} u_{wg}(t) \Psi_{wg}(t) \quad (36)$$

where  $\Psi_{wg}(t)$  is similar to (11a), but  $\Pi_{thr}(t)$  is replaced by  $\Pi_{wg}(t)$ .  $\Pi_{wg}(t)$  is defined as in Equation (12), where  $\Pi(t)$  is replaced by  $\Pi_t(t)$  in (29b).

**Table 4.** Turbine model fit to dataset D.  $\bar{e}$  is the mean absolute error,  $e_\sigma$  the variance of the absolute error,  $\bar{e}_{rel}$  the relative error, and  $e_{rel,\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_\sigma$	$\bar{e}_{rel}$	$e_{rel,\sigma}$
$W_{t,corr}$	$5.14 \cdot 10^{-4}$	$3.56 \cdot 10^{-4}$	1.30 %	0.95 %
	kg/s $\sqrt{K}$ /kPa	kg/s $\sqrt{K}$ /kPa		
$\eta_t$	0.92 %	0.99 %	1.34 %	1.47 %

### 4.6.1 Parameters

There is only one parameter to estimate,  $C_{D,wg}$ . The wastegate area  $A_{wg,max}$  is determined from measuring the diameter.  $C_{D,wg}$  is seen as a tuning constant. Since data was not available to parameterize the wastegate as a separate component, the same approach as for the throttle is taken. The modeling of the wastegate and throttle is similar due to both being controllable valves for restricting the gas flow.

### 4.7 Compressor

The parameterization of the compressor was done using dataset C and by using *LiU CPgui* (Llamas and Eriksson, 2018), which parameterizes a high-order control-oriented compressor model based on the *total least squares* algorithm. The fit to dataset A is shown in Table 5.

**Table 5.** Compressor model fit to dataset A.  $\bar{e}$  is the mean absolute error,  $e_\sigma$  the variance of the absolute error,  $\bar{e}_{rel}$  the relative error, and  $e_{rel,\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_\sigma$	$\bar{e}_{rel}$	$e_{rel,\sigma}$
$W_c$	$1.03 \cdot 10^{-4}$	$8.29 \cdot 10^{-4}$	0.13 %	1.51 %
	kg/s	kg/s		
$\eta_c$	0.92 %	0.99 %	1.34 %	1.47 %

## 5 Full System Parametrization

An approach taken in Wahlström and Eriksson (2011) and Sivertsson and Eriksson (2011) is to refit the parameters to the measurements when all model components are assembled in order to achieve good fit. A similar approach is taken here, but with a slightly different cost function (see equation 2) where a regularization technique is used in order to limit the changes in parameter values in order to preserve the model structure. This procedure is divided into two steps. The first step is to refit the torque model to dataset A. The second step is to tune all parameters influencing the steady state levels of the states when the complete model is fully assembled.

### 5.1 Torque model

For the torque model, the following parameters are refitted:

$$\theta_M = [c_{cal,0}, c_{cal,10}, c_{cal,11}, c_{cal,20}, c_{cal,21}, c_{cal,22}, c_{f,0}, c_{f,1}, c_{f,2}, c_{f,3}, c_{PMEP,0}, c_{PMEP,1}] \quad (37)$$

using criterion (2). The resulting fit is shown in Table 6

**Table 6.** Torque model refit to dataset A.  $\bar{e}$  is the mean absolute error,  $e_\sigma$  the variance of the absolute error,  $\bar{e}_{rel}$  the relative error, and  $e_{rel,\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_\sigma$	$\bar{e}_{rel}$	$e_{rel,\sigma}$
$M_{ice}$	8.42 Nm	6.59 Nm	1.81 %	3.05 %

## 5.2 Tuning of steady-state levels

In the same manner as for the torque model, using criterion (2), the parameters influencing the steady-state levels of the states are re-parameterized. To do this, the full model is simulated and the wastegate is controlled, using a *PID*-controller to minimize the error:

$$e_{wg} = W_c - W_{c,ref} \quad (38)$$

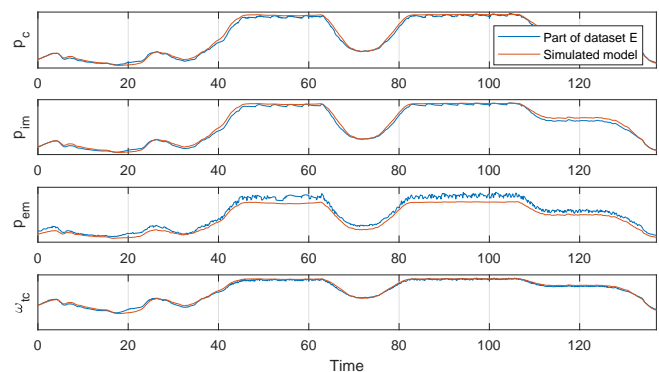
where the compressor massflow reference  $W_{c,ref}$  is taken from the massflow measurement in dataset A. The resulting fit is shown in Table 7.

**Table 7.** Steady-state levels of states fit to dataset A for the fully parameterized model.  $\bar{e}$  is the mean absolute error,  $e_\sigma$  the variance of the absolute error,  $\bar{e}_{rel}$  the relative error, and  $e_{rel,\sigma}$  the variance of the relative error.

	$\bar{e}$	$e_\sigma$	$\bar{e}_{rel}$	$e_{rel,\sigma}$
$p_c$	2.78 kPa	4.00 kPa	1.89 %	2.80 %
$p_{im}$	2.76 kPa	3.96 kPa	1.89 %	2.79 %
$p_{em}$	14.73 kPa	3.96 kPa	7.33 %	3.69 %
$\omega_c$	1.98 kRPM	2.42 kRPM	4.88 %	7.93 %

## 6 Full System Validation

The complete system model is simulated with the control signals recorded from a dynamic engine test (part of data set E). The result from the simulated system, in comparison with the measurement data is displayed in Figure 4.



**Figure 4.** State validation, simulated engine model compared to dynamic measurement data. The control signals are taken from measurements.

## 7 Conclusions

A mean value engine model of a Scania 12.7 liters heavy-duty diesel engine has been developed and validated using both stationary and dynamic measurements. The results show good agreement with measurements, showing that both dynamics and steady-state levels are well represented indicating that the model is well suited for studying the engine dynamics and fuel optimal control. The model is open-source and downloadable from [www.fs.isy.liu.se/Software/](http://www.fs.isy.liu.se/Software/).

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